

## FORMALITIES LETTER



\*OC000000005327572\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/593,554	06/14/2000	STUART A. FRASER	CF/006

1473  
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NEW YORK, NY 10020



Date Mailed: 08/16/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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PATENTS  
CF/006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application

Applicants : Stuart A. Fraser et al.  
Application No.: 09/593,554  
Filed : June 14, 2000  
For : SYSTEMS AND METHODS FOR ELECTRONIC  
TRADING THAT PROVIDE INCENTIVES  
AND LINKED AUCTIONS  
Group Art Unit : 2768  
Examiner : Not Yet Assigned

New York, New York 10020  
January 4, 2001

Commissioner for Patents  
Washington, D.C. 20231

Attention: Box Missing Parts

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

Responsive to the Notice to File Missing Parts  
of Application mailed August 16, 2000, applicants hereby  
submit the following:

1. A copy of the August 16, 2000 Notice to  
File Missing Parts of Application;
2. Petition For Extension Of Time Pursuant to  
37 C.F.R. § 1.136(a) (in duplicate);
3. Executed Declaration and Power of  
Attorney.

Please charge our Deposit Account No. 06-1075  
for the amounts of: (i) \$130.00 for surcharge; and  
(ii) \$890.00 for Three Month Extension fee.

Please credit any overpayment or charge any deficiencies in connection with this Reply to Deposit Account No. 06-1075. A duplicate copy of this paper is enclosed.


Respectfully submitted,



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I Heroby Certify that this  
Correspondence is being  
Deposited with the U.S.  
Postal Service as First  
Class Mail in an Envelope  
Addressed to: ASSISTANT  
COMMISSIONER FOR  
PRACTICE  
WASHINGTON, D.C. 20231 on

*January 4, 2001*  
C. J. Byrne

  
Signature of Person Signing